

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 5 :</b> <b>A61K 47/24</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 94/08623</b> <b>(43) International Publication Date:</b> <b>28 April 1994 (28.04.94)</b>
<b>(21) International Application Number:</b> PCT/EP93/02711 <b>(22) International Filing Date:</b> 5 October 1993 (05.10.93) <b>(30) Priority data:</b> 960,752 14 October 1992 (14.10.92) US <b>(71) Applicant:</b> F. HOFFMANN-LA ROCHE AG [CH/CH]; 124 Grenzacherstrasse, CH-4002 Basle (CH). <b>(72) Inventor:</b> TARANTINO, Ralph ; 13 Millbrook Drive, Mid- dletown, NJ 07748 (US). <b>(74) Agent:</b> GROSSNER, Lutz; P.O. Box 3255, CH-4002 Basle (CH). <b>(81) Designated States:</b> AU, BB, BG, BR, BY, CA, CZ, FI, HU, JP, KR, KZ, LK, NO, NZ, PL, RO, RU, SK, UA, Euro- pean patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).		<b>Published</b> <i>With international search report.</i> <i>With amended claims.</i>
<b>(54) Title:</b> INJECTABLE LECITHIN GEL  <b>(57) Abstract</b> <p>Injectable compositions for the sustained release of biologically active proteins and polypeptides comprise lecithin, a le- cithin solvent which is pharmaceutically acceptable for intramuscular or subcutaneous injection and which is not substantially soluble in water, and a biologically active compound. Upon intramuscular or subcutaneous administration the compositions form <i>in vivo</i> a lecithin gel which provides the sustained release of the biologically active compound.</p> <p style="text-align: right;">✓ (particular man) claims 1, (4) 10, 11, 12 14, 15 16, 17, 18 (22), (23-24), 25</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NE	Niger
BE	Belgium	GN	Guinea	NL	Netherlands
BF	Burkina Faso	GR	Greece	NO	Norway
BG	Bulgaria	HU	Hungary	NZ	New Zealand
BJ	Benin	IE	Ireland	PL	Poland
BR	Brazil	IT	Italy	PT	Portugal
BY	Belarus	JP	Japan	RO	Romania
CA	Canada	KP	Democratic People's Republic of Korea	RU	Russian Federation
CF	Central African Republic	KR	Republic of Korea	SD	Sudan
CG	Congo	KZ	Kazakhstan	SE	Sweden
CH	Switzerland	LJ	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovak Republic
CM	Cameroon	LJ	Luxembourg	SN	Senegal
CN	China	LV	Latvia	TD	Chad
CS	Czechoslovakia	MC	Monaco	TC	Togo
CZ	Czech Republic	MG	Madagascar	UA	Ukraine
DE	Germany	ML	Mali	US	United States of America
DK	Denmark	MN	Mongolia	UZ	Uzbekistan
ES	Spain			VN	Viet Nam
FI	Finland				

## INJECTABLE LECITHIN GEL

---

5 Sustained release dosage forms can decrease the frequency of administration of biologically active compounds and can also serve to reduce side effects by reducing peak serum levels of the compounds. There is also a significant advantage in administering biologically active proteins and polypeptides in sustained release dosage forms, since compounds of those classes generally have short biological half-lives.

10 Aqueous gels of pharmaceutically acceptable polymers such as gelatin, methylcellulose and polyethylene glycol have been used to control the release rate of drugs from dosage forms. The diffusion of drugs through such gels is hindered by the viscosity of these systems as well as the tortuous diffusion path that results from the three dimensional polymeric network that is present. These gels cannot easily be used to sustain the release of drugs administered parenterally due to the inherent problem of injecting such viscous materials through a hypodermic needle. 15 In addition, the high molecular weight of these polymers prevent their rapid elimination from the injection site.

25 Lecithin gels are known per se, see e.g., Scartazzini et al., *J. Phys. Chem.*, 92:829-833 (1988) and Luisi et al., *Colloid Polym. Sci.*, 268:356-374 (1990). These gels are formed *ex vivo* by the addition of a critical amount of water to a mixture of lecithin and an organic solvent for the lecithin. Lecithin gels have many of the rheological properties of polymeric gels.

30 In accordance with the invention, it has been found that a lecithin gel can be formed *in vivo* by the intramuscular or subcutaneous injection of a solution of lecithin in an organic

- 2 -

solvent. The lecithin gels of the invention are formed *in vivo* by absorption of water from the aqueous interstitial fluid at the injection site.

5           It has further been discovered that *in vivo* formed lecithin gels may be used as vehicles to sustain the *in vivo* release of biologically active compounds. The preferred compounds are proteins and polypeptides, e.g., interferon  $\alpha$  (IFN- $\alpha$ ) and human growth hormone releasing factor (GRF) or analogs thereof having  
10 GRF activity.

          The present invention comprises injectable compositions for the sustained release of biologically active proteins and polypeptides wherein said compositions comprise lecithin, a  
15 lecithin solvent which is pharmaceutically acceptable for intramuscular or subcutaneous injection and which is not substantially soluble in water, and a biologically active compound.

20           The invention also comprises a method for the sustained treatment of a human or other mammal with a therapeutic amount of a biologically active compound which comprises the intramuscular or subcutaneous administration of a composition of the invention.

25           The invention also comprises a method of making *in vivo* a lecithin gel which provides the sustained release of a biologically active compound which comprises the intramuscular or subcutaneous injection of a composition of the invention.

30           More particularly, the present invention comprises an injectable pharmaceutical composition which forms a lecithin gel *in vivo* for the sustained release of a biologically active compound comprising:  
35

- 3 -

- 1) a pharmaceutically acceptable organic solvent which is not substantially soluble in water and which is capable of dispersing a lecithin and forming a lecithin gel upon the absorption of body fluids;
- 2) a therapeutically effective amount of said biologically active compound which is dispersed in said solvent; and
- 3) a lecithin which is dispersed in said solvent in an amount sufficient to cause gelation upon the absorption of body fluids.

The invention also comprises a method for the sustained treatment of a human or other mammal with a therapeutic amount of a biologically active compound which comprises the intramuscular or subcutaneous administration of a composition of the invention.

The invention also comprises a method of making *in vivo* a lecithin gel which provides the sustained release of a biologically active compound which comprises the intramuscular or subcutaneous injection of a composition of the invention.

As used herein, the term "lecithin" encompasses a complex mixture of acetone-insoluble, i.e., polar, phosphatides which consists chiefly of phosphatidyl choline, phosphatidyl ethanolamine, phosphatidyl serine, and phosphatidyl inositol, combined with various amounts of other substances such as triglycerides, fatty acids, and carbohydrates, wherein the acetone-insoluble matter is not less than 50%. See, *The United States Pharmacopeia* (1990), p. 1942. The term "lecithin" also includes compositions which contain substantial amounts of one of the above-described phosphatides.

- 4 -

The source and particular composition of the lecithin is not critical so long as the lecithin is capable of forming a gel and is suitable for injection into humans or other mammals. Sources of lecithin include vegetable sources such as soybeans, corn, 5 peanuts, and sunflower seeds. Examples of animal sources of lecithin are egg yolks and animal brain matter.

The preferred lecithin is derived from soy beans and contains a substantial percentage of phosphatidyl choline. Such 10 a lecithin may be prepared from unpurified commercial soya lecithin (e.g., Type IV-S, Sigma Chemical Co., St. Louis, Missouri) by, for example, the method of Scartazzini et al., *supra*. Alternatively, purified soya lecithin containing >90% phosphatidyl choline is available commercially (e.g., LIPOID S 100, Lipoid KG, 15 Frigenstr. 4, D-6700 Ludwigshafen 24, Germany; Type III-S, Sigma Chemical, *supra*).

Any organic solvent which is suitable for injection and in which lecithin, the biologically active compound, and any optional 20 ingredients described below are dispersible may be used as the solvent for preparing the compositions of the invention, so long as the solvent is not substantially soluble in water and is capable of forming a lecithin gel upon the addition of the critical amount of water. As used herein, such substances are "dispersed" if they 25 form either a true solution in the solvent or a stable suspension. The capability of a solvent to be useful in practicing the claimed invention may be determined *in vitro* by its ability to form a lecithin gel through the addition of the critical amount of water by any means known in the art, e.g., by the methods disclosed in 30 Scartazzini et al., *supra*.

Vegetable-derived fatty acid esters of glycerol (glycerides) are the preferred solvents. Examples of vegetable-derived 35 glycerides which may be used in the present invention are vegetable oils such as coconut oil, corn oil, cottonseed oil, palm

- 5 -

kernel oil, palm oil, safflower oil, sesame oil, peanut oil and soybean oil. Preferred vegetable oils are sesame oil, peanut oil and soybean oil.

5           The preferred glycerides are triglycerides in which the fatty acids have from 8-10 carbon atoms. Such triglycerides are referred to as medium chain triglycerides (MCT's). Especially preferred is an MCT of fractionated coconut oil fatty acids C8-C10  
10       which contains 50-65% caprylic acid (C 8.0) and 30-45% capric acid (C 10.0), and no more than 2% caproic acid (C 6.0) and 3% lauric acid (C 12.0). Such an MCT is manufactured by Dynamit Nobel under the name MIGLYOL 812, and may be obtained from Kay-Fries, Inc., Montvale, New Jersey.

15           The weight ratio of lecithin to organic solvent in the compositions of the invention is not critical, so long as the composition is capable of forming a lecithin gel. The preferred weight ratio of lecithin to solvent is within the range from about 0.1 to about 2.0, with a weight ratio of about 0.3 being especially  
20       preferred.

          The compositions of the invention may also include substances which act to stabilize the active ingredient. These stabilizing substances will differ depending on the particular  
25       active ingredient that will be incorporated into the composition. Examples of conventional protein and polypeptide stabilizers are human serum albumin (HSA),  $\alpha$ -tocopherol and disodium ethylene diamine tetra acetic acid ("disodium EDTA").

30           The compositions of the invention may also include preservatives which retard the growth of bacteria in the composition during storage. Examples of conventional preservatives are methylparaben and propylparaben.

- 6 -

In a preferred embodiment of the invention, the compositions of the invention further comprise excipients which act to modify the properties of the lecithin gel which forms *in vivo* after subcutaneous or intramuscular administration of a composition of the invention. Such excipients include:

(1) Osmotic agents.

Osmotic agents increase the rate of water sorption into the lecithin gel and provide an increase in the rate of release of the active ingredient which is relatively uniform over the life of the gel. Any conventional osmotic agents may be used in accordance with the invention. Preferred osmotic agents are mannitol, dextrose, and sodium chloride.

(2) Hydrophobic agents.

Hydrophobic agents reduce the rate of elimination of the lecithin gel from the injection site and decrease the rate of release of the active ingredient. Any conventional hydrophobic agents may be used in accordance with the invention. Preferred hydrophobic agents are cholesterol and cholesterol derivatives such as cholesterol sulfate, cholesterol acetate and cholesterol hemisuccinate; and

(3) Surface active agents.

Surface active agents increase the rate of elimination of the lecithin gel from the injection site and provide an initially high rate of release of the active ingredient. Any conventional surface active agents may be used in accordance with the invention. Preferred surface active agents are stearic acid, palmitic acid, C<sub>8</sub>-C<sub>26</sub> carboxylic acids, and the salts of these acids. Other surface active agents include polyoxyethylene glycols (e.g.,



- 7 -

PLURONIC's) and polyoxyethylene sorbitan mono-oleates (e.g., POLYSORBATE's).

5       The above excipients (1)-(3) are preferably present individually in amounts of 0.1-1.0 parts by weight to 1 part by weight of solvent. However, the total amount of such excipients is preferably less than 1.5 parts by weight to 1 part by weight of solvent.

10       If the active ingredient is not readily dispersible in the lecithin/solvent mixture, the active ingredient may first be dissolved in a small amount of water or in a buffer solution which is known in the art to be appropriate for the particular active ingredient. Additionally, water or a buffer solution may  
15       be incorporated in a composition of the invention in order to start the process of gel formation, and thus increase the viscosity of the composition, prior to injection. In either of the above instances, the volume of water or buffer solution should be less than the amount that would cause the composition to separate  
20       into aqueous and non-aqueous phases, or would cause the viscosity of the composition to increase beyond the point where it could be administered by injection.

25       The ability of a lecithin gel formed *in vivo* from a composition of the invention to sustain the release of a biologically active compound may be determined by any conventional means. For example, the test composition containing the biologically active ingredient may be injected into suitable laboratory animals, e.g., rats. The blood level of the  
30       active ingredient in the laboratory animal is then observed over time.

35       The ability of a gel to sustain the release of an active ingredient can also be determined *in vitro* by measuring the release of the active ingredient upon immersion of the gel in

- 8 -

successive test solutions. For example, the *in vitro* release rate of a compound of interest may be measured in pH 7.4 phosphate buffer. Triplicate samples of each gel (200 mg) are placed in the bottom of 1.5 mL microcentrifuge tubes with a syringe. Buffer solution (400 microliters) is then placed on the top of the gels. The centrifuge tubes are sealed and placed in an incubator shaker bath maintained at 37°C and agitated at a rate of 120 rpm. At each timepoint, the buffer solution is removed and assayed using any means appropriate to detect the active ingredient. Fresh buffer is then added to the microcentrifuge tube containing the test samples, and the above steps are repeated until the level of the active ingredient is zero or insignificant.

In a preferred embodiment, the compositions of the invention for the sustained administration of interferon  $\alpha$  comprise 1 part by weight of glyceride solvent, 0.1 to 2.0 parts by weight of a lecithin which is dispersed in said solvent and which contains more than about 90% phosphadityl choline, 0.1 to 1.0 parts by weight of one or more excipients wherein said excipients are selected from the group consisting of osmotic agents, hydrophobic agents and surface active agents and the total amount of said excipients does not exceed 1.5 parts by weight, and about 100 million International Units to about 300 million International Units of interferon  $\alpha$  per gram of final composition.

The compositions of this invention can be manufactured by dispersing lecithin, the active ingredient and, if required, excipients selected from osmotic agents, hydrophobic agents and surface active agents, or mixtures thereof, in a pharmaceutically acceptable solvent and homogenizing the mixture. In a particular aspect of the manufacturing process an additional solvent such as hexane is added to the mixture and evaporated after homogenization.

The injectable compositions of Examples 1(a)-(k), below, were prepared by the following method:

5                    Non-Solvent Method

- (1) While sparging with nitrogen, heat lecithin solvent to 40°C.
- (2) Add and disperse lecithin.
- 10        (3) Add and disperse excipients.
- (4) Cool to 25°C.
- (5) Homogenize for 10 minutes maintaining temperature below 40°C.
- 15        (6) Cool to 25°C, add active ingredient and blend until homogeneous.

The injectable compositions of the invention may also be prepared by the following method:

20                    Solvent Method

- (1) While sparging with nitrogen, disperse lecithin solvent, lecithin and excipients in hexane.
- (2) Add active ingredient and emulsify.
- 25        (3) While maintaining agitation, place mixing vessel under negative pressure until hexane is evaporated.

Example 1

The following are examples of injectable compositions of the invention:

5

Example 1(a)

	Interferon $\alpha$ -2a concentrated	0.148 mL
	bulk sol. ( $2.02 \times 10^{10}$ IU/ml)	
10	Ammonium acetate pH 5.0 buffer	0.052 mL
	Lecithin (LIPOID S 100)	6.0 g
	MCT (MIGLYOL 812)	14.8 g

Example 1(b)

15

	Interferon $\alpha$ -2a/mannitol	
	lyophilizate ( $5.4 \times 10^8$ IU/mg)	0.5 g
	Lecithin (LIPOID S 100)	3.0 g
	MCT (MIGLYOL 812)	1.485 g
20	Methylparaben	0.009 g
	Propylparaben	0.001 g
	dl-alpha tocopherol	0.005 g

Example 1(c)

25

	Interferon $\alpha$ -2a bulk	0.65 mL
	sol. ( $2.02 \times 10^8$ IU/ml)	
	Ammonium acetate pH 5.0 buffer	0.35 mL
	Lecithin (LIPOID S 100)	6.0 g
30	MCT (MIGLYOL 812)	2.97 g
	Methylparaben	0.018 g
	Propylparaben	0.002 g
	dl-alpha tocopherol	0.01 g

- 11 -

Example 1(d)

	Interferon $\alpha$ -2a bulk	1 mL
	sol. ( $13.2 \times 10^8$ IU/ml)	
5	Cholesterol	3.0 g
	Mannitol	1.5 g
	Lecithin (LIPOID S 100)	3.0 g
	MCT (MIGLYOL 812)	4.0 g

10 Example 1(e)

	Interferon $\alpha$ -2a bulk	0.9 mL
	sol. ( $11.3 \times 10^8$ IU/ml)	
	Stearic Acid	1.0 g
15	Lecithin (LIPOID S 100)	4.0 g
	MCT (MIGLYOL 812)	4.0 g
	Human Serum Albumin (25% sol.)	0.1 mL

20 Example 1(f)

	Interferon $\alpha$ -2a bulk	4.86 mL
	sol. ( $16.6 \times 10^8$ IU/ml)	
	Ammonium acetate pH 5.0 buffer	1.03 mL
25	Cholesterol	9.0 g
	MCT (MIGLYOL 812)	9.0 g
	Mannitol	3.0 g
	Lecithin (LIPOID S 100)	3.0 g
	Methylparaben	0.016 g
30	Propylparaben	0.0018 g

- 12 -

Example 1(g)

	Interferon $\alpha$ -2a bulk	4.86 mL
	sol. ( $16.6 \times 10^8$ IU/ml)	
5	pH 5.0 ammonium acetate buffer	1.03 mL
	Stearic Acid	9.0 g
	MCT (MIGLYOL 812)	9.0 g
	Mannitol	3.0 g
	Lecithin (LIPOID S 100)	3.0 g
10	Methylparaben	0.016 g
	Propylparaben	0.0018 g

Example 1(h)

15	Interferon $\alpha$ -2a bulk	4.86 mL
	sol. ( $16.6 \times 10^8$ IU/ml)	
	pH 5.0 ammonium acetate buffer	1.03 mL
	Cholesterol	4.5 g
20	Stearic Acid	4.5 g
	MCT (MIGLYOL 812)	9.0 g
	Mannitol	3.0 g
	Lecithin (LIPOID S 100)	3.0 g
	Methylparaben	0.016 g
25	Propylparaben	0.0018 g

- 13 -

Example 1(i)

	GRF analog*	0.003 mg
	pH 4.0 sodium acetate buffer	3.957 g
5	Cholesterol	6.0 g
	MCT (MIGLYOL 812)	6.0 g
	Mannitol	2.0 g
	Lecithin (LIPOID S 100)	2.0 g
	Methylparaben	0.04 g
10	Propylparaben	0.004 g

\* [His<sup>1</sup>, Val<sup>2</sup>, Gln<sup>8</sup>, Ala<sup>15</sup>, Leu<sup>27</sup>]-GRF(1-32)-OH which contains the first 32 residues of natural GRF with the noted substitutions at residues 1, 2, 8, 15 and 27.

15

Example 1(j)

	Interferon $\alpha$ -2a	0.453 mL
	bulk sol. (16.6 x 10 <sup>8</sup> IU/ml)	
20	Ammonium acetate pH 5.0 buffer	2.0 mL
	Lecithin (LIPOID S 100)	5.0 g
	MCT (MIGLYOL 812)	10.0 g
	Cholesterol	7.5 g

25

Example 1(k)

	Interferon $\alpha$ -2a	0.905 mL
	bulk sol. (16.6 x 10 <sup>8</sup> IU/ml)	
	Ammonium acetate pH 5.0 buffer	1.548 mL
30	Lecithin (LIPOID S 100)	5.0 g
	MCT (MIGLYOL 812)	10.0 g
	Cholesterol	7.5 g

Example 2Determination of Duration of Release of IFN- $\alpha$ 

5           Compositions of Examples 1(a)-1(h) containing IFN- $\alpha$  were each subcutaneously administered to three Sprague-Dawley rats. Additional rats were administered the IFN- $\alpha$  in a conventional vehicle (Normal Saline) for comparison. Blood samples were drawn, and the plasma IFN- $\alpha$  levels were determined by an  
10 immunoradiometric assay (Celltech Ltd., Berkshire, England) or by an enzyme immunosorbant assay (EIA) by the procedure of Gallati et al., *J. Clin. Chem. Clin. Biochem.*, 20:907-914 (1982).

          Figures 1-4 show the sustained release effect obtained in  
15 rats by administering the compositions of Examples 1(a) - 1 (h). The values are the average serum levels of interferon alfa-2a detected in three rats.

          Figures 1 and 2 compare conventional solutions of  
20 interferon  $\alpha$ -2a to compositions of the invention which contain no release-modifying excipients. Figure 1 shows that when interferon-alfa 2a is administered in a conventional solution at a dose of 150 million units, the serum levels of interferon fell below detectable limits at 24 hours. However, Figure 1 also  
25 demonstrates that the sustained release composition of Example 1(a) provided detectable serum levels for at least 96 hours. Figure 2 demonstrates the sustained release obtained from the compositions of Examples 1(b) and 1(c) in comparison to a conventional solution at a dose of 54 million units per rat.

30           Figure 3 shows that the release of interferon in compositions of the invention may be altered by adding an osmotically active agent (mannitol) and adjusting the ratio of a hydrophobic additive (cholesterol) and a surface active agent  
35 (stearic acid) which aids in solubilizing the hydrophobic agent.



- 15 -

The composition of Example 1(f) contains 30% cholesterol and detectable levels of interferon are observed for at least 336 hours. The composition of Example 1(h) is identical to 1(f) except, rather than containing 30% cholesterol, it contains 14% cholesterol and 15% stearic acid. The release period of interferon  $\alpha$ -2a lasted approximately 240 hours from this composition. The composition of Example 1(g), containing no cholesterol and 30% stearic acid, had the shortest period of release, lasting approximately 72 hours. Figure 3 demonstrates that the release of interferon in the compositions of the invention can be controlled by adding additional excipients.

Figure 4 shows the release periods obtained with compositions of Examples 1(d) and 1(e), further demonstrating the versatility of the invention.

The results in Figures 1-4 demonstrate that the compositions of the invention significantly increase the time during which IFN- $\alpha$  is present in the blood of the test animal in comparison to conventional IFN- $\alpha$  solutions. With the conventional IFN- $\alpha$  solutions, the blood level of IFN- $\alpha$  returned to zero within 24 hours of administration. With the compositions of the invention containing IFN- $\alpha$ , the blood level of IFN- $\alpha$  did not return to zero until from 47.5 to greater than 300 hours after administration, depending upon the formulation of the composition.

### Example 3

#### Determination of Duration of Release of a GRF analog

The composition of Example 1(i) containing a GRF analog was subcutaneously administered to C57/BL6 mice. Over a period of 7 days, blood samples were drawn at regular intervals and assayed for the presence of growth hormone. The results are

- 16 -

shown in Figure 5 which shows the blood level of growth hormone which was induced over a period of 168 hours from the injection of the formulation of Example 1(i). There were five mice in each test group (drug and placebo). The increased  
5 presence of growth hormone in the mice which were injected with the formulation of Example 1(i) demonstrates the ability of the compositions of the invention to sustain the release of a GRF analog *in vivo*.

10

#### Example 4

#### Determination of Anti-Tumor Activity of Compositions Containing IFN $\alpha$ -2a

15

Human lymphoma cells were implanted in athymic nude mice and allowed to grow. The mice were administered IFN  $\alpha$ -2a in a conventional vehicle three times per week or in a composition of the invention (compositions of Examples 1(j) and 1(k)) once per week. The total IFN  $\alpha$ -2a administered per week  
20 was the same in both groups. Figure 6 shows the effects of the conventional IFN- $\alpha$  compositions and compositions of Examples 1(j) and 1(k) on the size of the implanted tumors over time.

25

The results in Figure 6 demonstrate that the compositions of the invention, when administered once weekly, inhibit the growth of implanted tumors as effectively as conventional compositions administered 3 times per week.

Claims

1. A pharmaceutical composition which forms a lecithin gel *in vivo* for the sustained release of a biologically active compound comprising:
- 5
- 1) 1 part by weight of a pharmaceutically acceptable organic solvent which is not substantially soluble in water;
  - 10
  - 2) a therapeutically effective amount of said biologically active compound which is dispersed in said solvent; and
  - 15
  - 3) about 0.1 to about 2.0 parts by weight of a lecithin which is dispersed in said solvent.
2. The composition of claim 1 which further comprises excipients dispersed in said solvent, wherein said excipients are selected from the group consisting of osmotic agents, hydrophobic agents, and surface active agents, or mixtures thereof.
- 20
3. The composition of claim 2 wherein the individual excipients are present in an amount from about 0.1 to about 1.0 parts by weight with the total amount of excipients being less than about 1.5 parts by weight to 1 part by weight of said solvent.
- 25
4. The composition of claim 3 wherein the solvent is a vegetable-derived glyceride or mixture of glycerides.
- 30
5. The composition of claim 4 wherein the solvent is a medium chain triglyceride or mixture of medium chain triglycerides.
- 35

6. The composition of claim 5 wherein the lecithin contains more than about 90% phosphatidyl choline.

5 7. The composition of claim 6 wherein the osmotic agents are selected from the group consisting of mannitol, dextrose and sodium chloride.

8. The composition of claim 7 wherein the hydrophobic agents are selected from the group consisting of cholesterol and cholesterol derivatives.

9. The composition of claim 8 wherein the surface active agents are selected from the group consisting of stearic acid, palmitic acid, C8-C26 carboxylic acids, and the salts of these acids, polyoxyethylene glycols and polyoxyethylene sorbitan mono-oleates.

10. The composition of claim 9 wherein the biologically active compound is interferon  $\alpha$ .

11. The composition of claim 9 wherein the biologically active compound is growth hormone releasing factor or an analog thereof having growth hormone releasing factor activity.

12. A pharmaceutical composition comprising:

- 1) 1 part by weight of a pharmaceutically acceptable solvent wherein said solvent is a glyceride or mixture of glycerides;
- 2) about 0.1 to 2.0 parts by weight of a lecithin which is dispersed in said solvent wherein said lecithin contains more than about 90% phosphatidyl choline;

- 3) interferon  $\alpha$  in an amount from about 100 million International Units to about 300 International Units per gram of final composition which is dispersed in said solvent.

5

13. The composition of claim 12 wherein the solvent is a medium chain triglyceride or mixture of medium chain triglycerides.

10

14. The composition of claim 13 which further comprises 0.1 to 1.0 parts by weight to 1 part by weight of said solvent of one or more excipients dispersed in said solvent wherein said excipients are selected from the group consisting of osmotic agents, hydrophobic agents and surface active agents and the

15

total amount of said excipients does not exceed 1.5 parts by weight to 1 part by weight of said solvent.

20

15. A process for the manufacture of pharmaceutical compositions as defined in any one of claims 1-14 which comprises dispersing lecithin in a pharmaceutically acceptable solvent which is not substantially soluble in water, adding the active ingredient and homogenizing the mixture.

25

16. A process as in claim 15, wherein excipients selected from osmotic agents, hydrophobic agents and surface active agents or mixtures thereof are added to the dispersion.

30

17. A process as in claims 15 or 16 wherein an additional solvent such as hexane is added and evaporated after homogenization of the composition.

18. A method for the sustained treatment of a patient with a biologically active compound in the form of a gel for sustained release comprising subcutaneously or intramuscularly

administering a pharmaceutical composition as defined in any one of claims 1-14.

19. The invention as described hereinbefore, especially  
5 with reference to Example 1.

\*\*\*

## AMENDED CLAIMS

[received by the International Bureau  
on 01 February 1994 (01.02.94);  
original claims 1,10,11 and 18 amended;  
remaining claims unchanged (3 pages)]

5 1. A pharmaceutical composition which forms a lecithin  
gel *in vivo* for the sustained release of a biologically active  
protein and polypeptide comprising:

- 10 1) 1 part by weight of a pharmaceutically acceptable  
organic solvent which is not substantially soluble in  
water;
- 2) a therapeutically effective amount of said biologically  
active protein or polypeptide which is dispersed in said  
solvent; and
- 15 3) about 0.1 to about 2.0 parts by weight of a lecithin  
which is dispersed in said solvent.

20 2. The composition of claim 1 which further comprises  
excipients dispersed in said solvent, wherein said excipients are  
selected from the group consisting of osmotic agents, hydrophobic  
agents, and surface active agents, or mixtures thereof.

25 3. The composition of claim 2 wherein the individual  
excipients are present in an amount from about 0.1 to about 1.0  
parts by weight with the total amount of excipients being less  
than about 1.5 parts by weight to 1 part by weight of said  
solvent.

30 4. The composition of claim 3 wherein the solvent is a  
vegetable-derived glyceride or mixture of glycerides.

35 5. The composition of claim 4 wherein the solvent is a  
medium chain triglyceride or mixture of medium chain  
triglycerides.

6. The composition of claim 5 wherein the lecithin contains more than about 90% phosphatidyl choline.

5 7. The composition of claim 6 wherein the osmotic agents are selected from the group consisting of mannitol, dextrose and sodium chloride.

10 8. The composition of claim 7 wherein the hydrophobic agents are selected from the group consisting of cholesterol and cholesterol derivatives.

15 9. The composition of claim 8 wherein the surface active agents are selected from the group consisting of stearic acid, palmitic acid, C8-C26 carboxylic acids, and the salts of these acids, polyoxyethylene glycols and polyoxyethylene sorbitan mono-oleates.

20 10. The composition of claim 9 wherein the biologically active protein and polypeptide is interferon  $\alpha$ .

11. The composition of claim 9 wherein the biologically active <sup>protein and</sup> ~~polypeptide~~ is growth hormone releasing factor or an analog thereof having growth hormone releasing factor activity.

25 12. A pharmaceutical composition comprising:

- 30 1) 1 part by weight of a pharmaceutically acceptable solvent wherein said solvent is a glyceride or mixture of glycerides;
- 2) about 0.1 to 2.0 parts by weight of a lecithin which is dispersed in said solvent wherein said lecithin contains more than about 90% phosphatidyl choline;



- 3) interferon  $\alpha$  in an amount from about 100 million International Units to about 300 International Units per gram of final composition which is dispersed in said solvent.

5

13. The composition of claim 12 wherein the solvent is a medium chain triglyceride or mixture of medium chain triglycerides.

10

14. The composition of claim 13 which further comprises 0.1 to 1.0 parts by weight to 1 part by weight of said solvent of one or more excipients dispersed in said solvent wherein said excipients are selected from the group consisting of osmotic agents, hydrophobic agents and surface active agents and the total amount of said excipients does not exceed 1.5 parts by weight to 1 part by weight of said solvent.

15

15. A process for the manufacture of pharmaceutical compositions as defined in any one of claims 1-14 which comprises dispersing lecithin in a pharmaceutically acceptable solvent which is not substantially soluble in water, adding the active ingredient and homogenizing the mixture.

20

16. A process as in claim 15, wherein excipients selected from osmotic agents, hydrophobic agents and surface active agents or mixtures thereof are added to the dispersion.

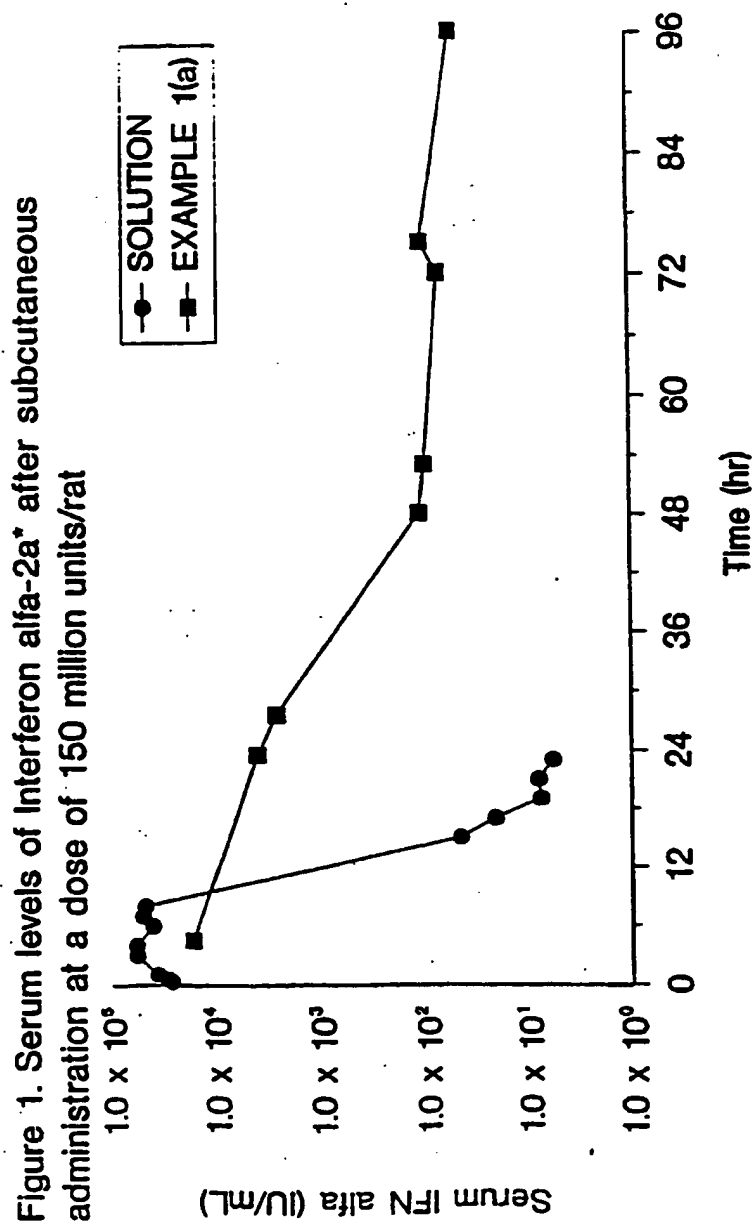
25

17. A process as in claims 15 or 16 wherein an additional solvent such as hexane is added and evaporated after homogenization of the composition.

30

18. A method for the sustained treatment of a patient with a biologically active <sup>protein and</sup> polypeptide in the form of a gel for sustained release comprising subcutaneously or intramuscularly

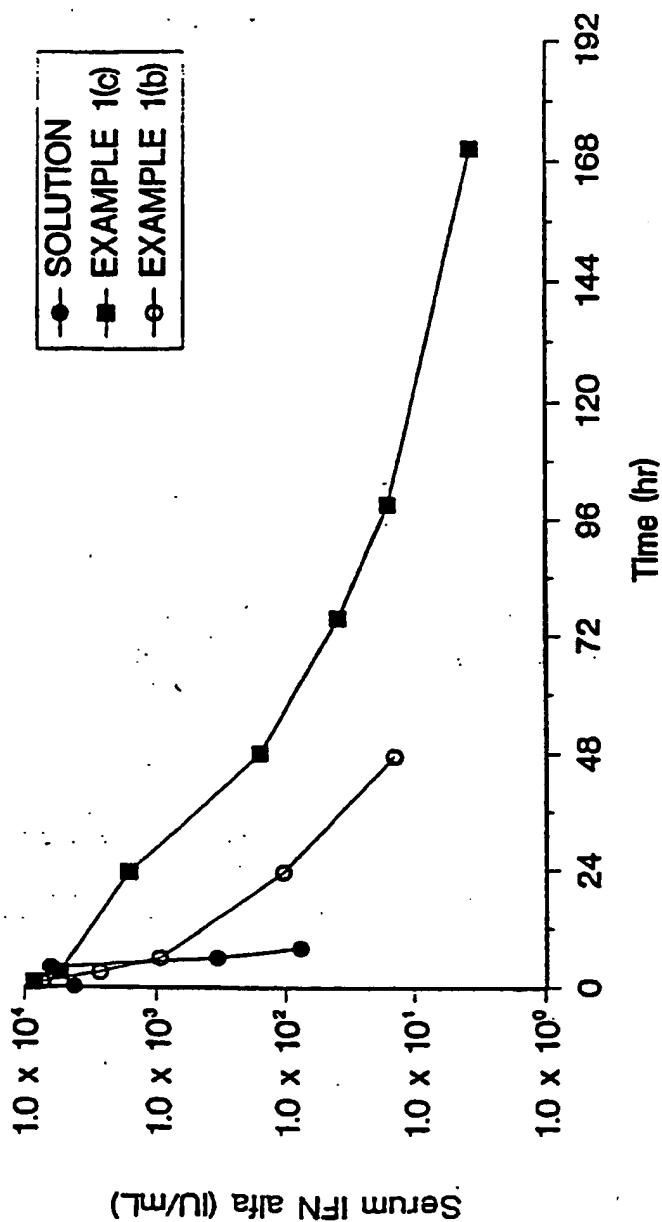
1/6



\*Sustained release lecithin composition with no release modifying agent vs a conventional solution

2/6

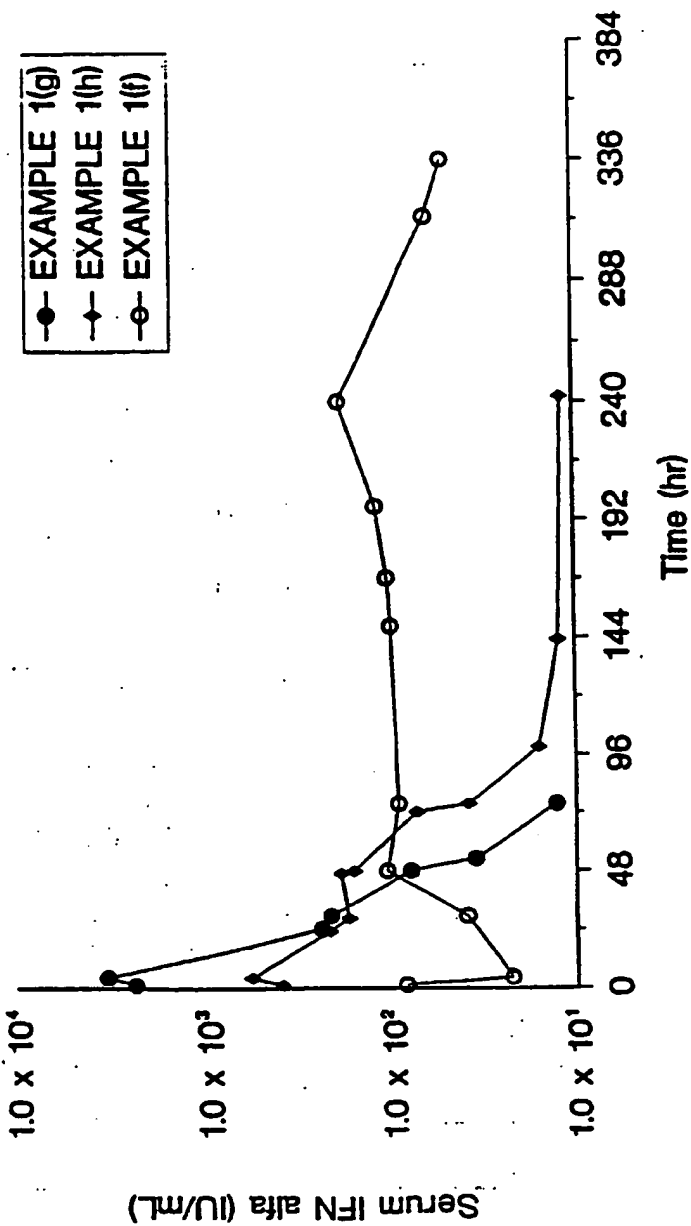
Figure 2. Serum levels of Interferon alfa-2a\* after subcutaneous administration at a dose of 54 million units/rat



\* Sustained release lecithin compositions with no additional release modifying agents vs conventional solutions

3/6

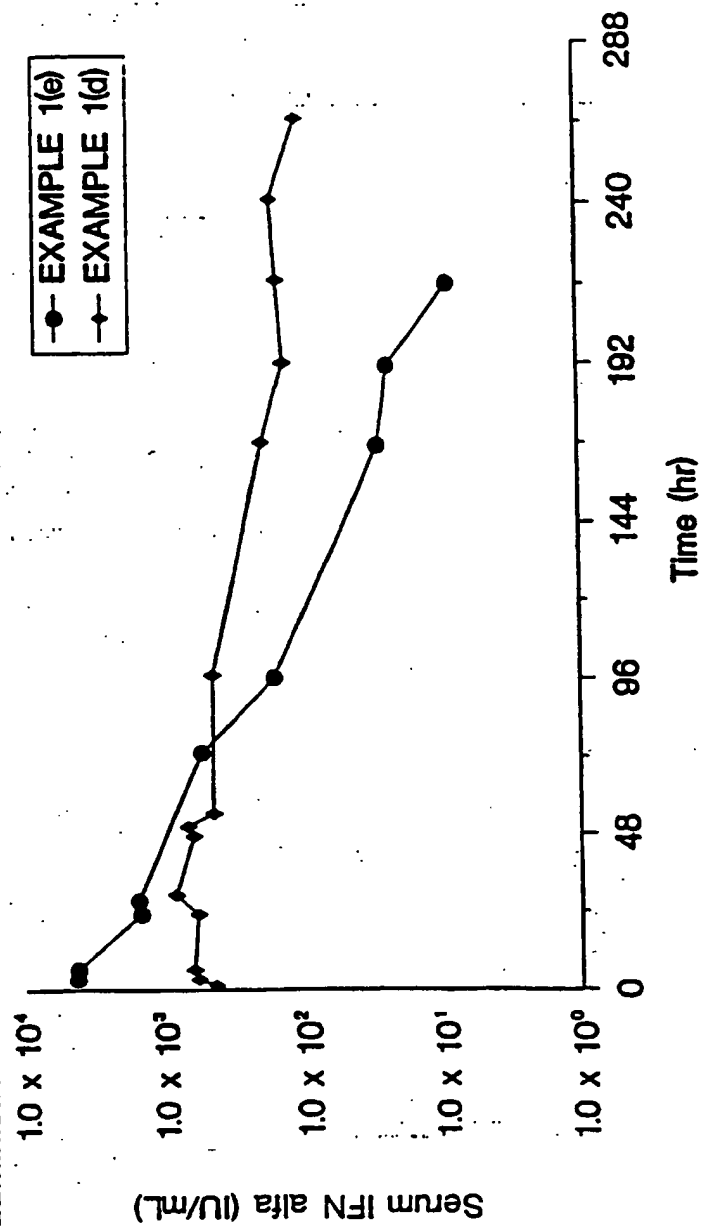
Figure 3. Serum levels of interferon alfa-2a\* after subcutaneous administration of 54 million units/rat



\* Sustained release lecithin compositions with release modifying agents

4/6

Figure 4. Serum levels of Interferon alfa-2a\* after subcutaneous administration of lecithin sustained release compositions

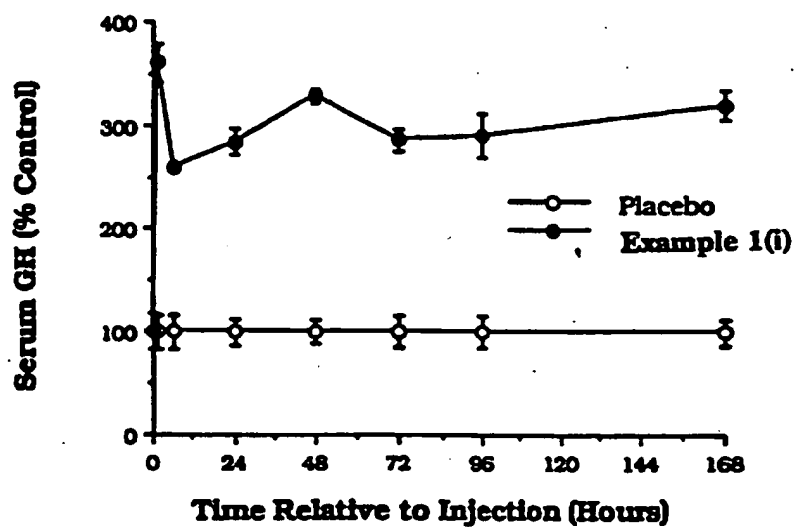


\* Sustained release lecithin compositions with release modifying agents

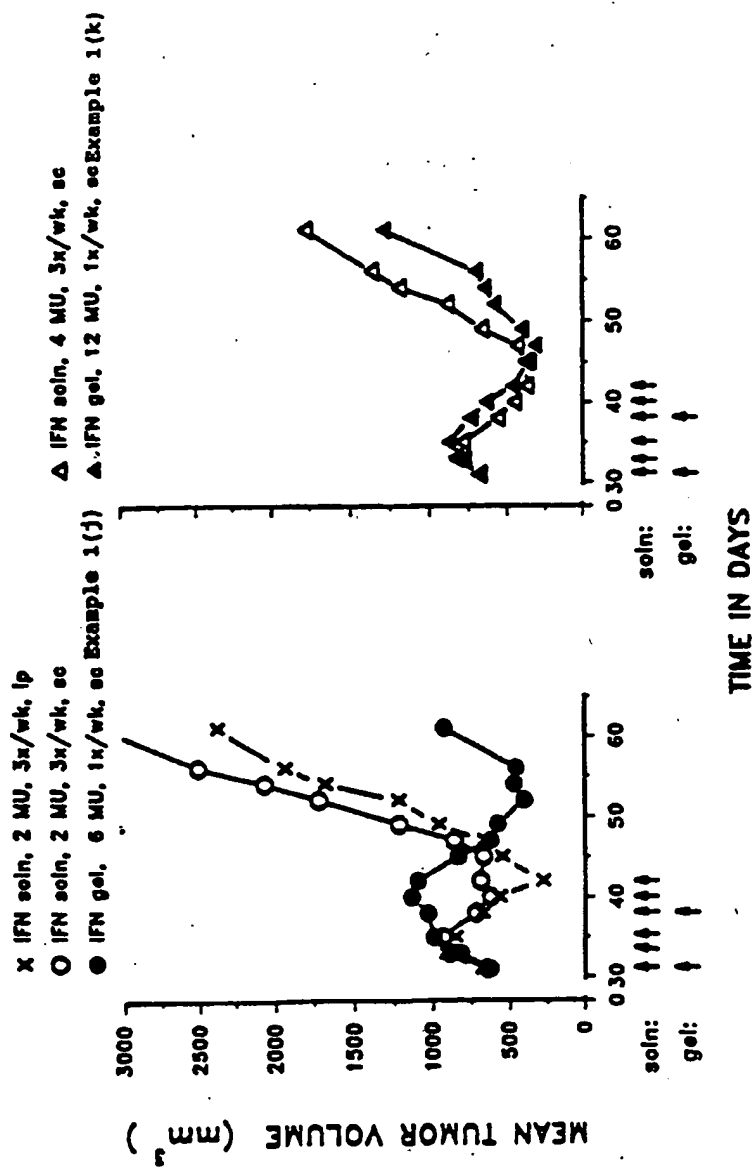
5/6

**Figure 5**

**The Effect of the Composition of Example 1(i) (30  $\mu$ g GRF Analog s.c.) on Mouse Serum Growth Hormone Concentrations**



6/6

**FIGURE 6****ANTITUMOR EFFICACY OF A SUSTAINED RELEASE FORMULATION  
VS SOLUTIONS OF INTERFERON ADMINISTERED SUBCUTANEOUSLY**

# INTERNATIONAL SEARCH REPORT

Int'l. Application No

PCT/EP 93/02711

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 5 A61K47/24

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 5 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	FR,A,2 519 864 (YAMANOUCHI PHARMACEUTICAL CO.) 22 July 1983	1-6, 18, 19
Y	see claim 1	7, 12-17
	see page 2, line 2 - line 8	
	see page 2, line 32 - page 3, line 3	
	see page 3, line 12 - page 5, line 31	
	see example 9	
Y	WO,A,88 04556 (NOVO INDUSTRI A/S) 30 June 1988	7, 12-17
A	see claims 1, 6-10, 14	10, 11
	see page 4, line 1 - line 35	
	see page 6, line 3 - line 37	
	see page 7, line 30 - line 37	

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*A\* document member of the same patent family

Date of the actual completion of the international search

23 November 1993

Date of mailing of the international search report

15. 12. 93

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

VENTURA AMAT, A



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/EP 93/02711

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claim 18 is directed to a method of treatment of the human body the search has been carried out and based on the alleged effects of the composition.
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REP RT

Information on patent family members

Int. l. Application No

PCT/EP 93/02711

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
FR-A-2519864	22-07-83	JP-C- 1732970	17-02-93
		JP-B- 4020887	07-04-92
		JP-A- 58124714	25-07-83
		DE-A, C 3301638	04-08-83
		GB-A, B 2114885	01-09-83
		US-A- 4578391	25-03-86
WO-A-8804556	30-06-88	AU-B- 606121	31-01-91
		AU-A- 1085888	15-07-88
		DE-A- 3780925	10-09-92
		EP-A, B 0272097	22-06-88
		JP-T- 1501550	01-06-89
		US-A- 5179079	12-01-93
		ZA-A- 8709284	16-06-88